

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**TRANSMITTAL  
FORM**

*(to be used for all correspondence after initial filing)*

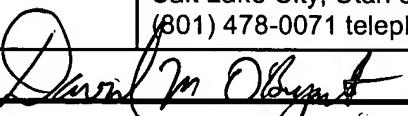
|  |   |                      |                  |
|--|---|----------------------|------------------|
|  |   | Application Number   | 10/697,711       |
|  |   | Filing Date          | October 30, 2003 |
|  |   | First Named Inventor | Brieanna Herrick |
|  |   | Group Art Unit       | Unknown          |
|  |   | Examiner Name        | Unknown          |
| Total Number of Pages in This Submission<br>(including this sheet) | 5 | Attorney Docket No.  | 2864.HERR.PT     |

**ENCLOSURES (check all that apply)**

|   |  |   |         |  |  |
|---|--|---|---------|--|--|
| <input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Appeal Communication:<br><input type="checkbox"/> Appeal Notice<br><input type="checkbox"/> Appeal Brief<br><input type="checkbox"/> Reply Brief<br><input type="checkbox"/> Assignment with Cover Sheet<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Check in the amount of \$_____<br><input type="checkbox"/> Credit card authorization in the amount of \$_____<br><input type="checkbox"/> Declaration & Power of Attorney<br><input type="checkbox"/> Drawings ____ sheets<br><input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> _____ month<br><input type="checkbox"/> Fee Calculation Table<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Form 1449<br><input type="checkbox"/> Copies of IDS References<br><input type="checkbox"/> Issue Fee Transmittal & Advance Order | <input type="checkbox"/> Maintenance Fee Transmittal<br><input type="checkbox"/> _____ year<br><input type="checkbox"/> Missing Parts Response<br><input type="checkbox"/> Notification of Change of Attorney Address & Docket Number<br><input checked="" type="checkbox"/> Return Postcard<br><input type="checkbox"/> Revocation & Power of Attorney<br><input type="checkbox"/> Status Inquiry<br><input type="checkbox"/> Other: |         |  |  |
|   |  |   | Remarks |  |  |

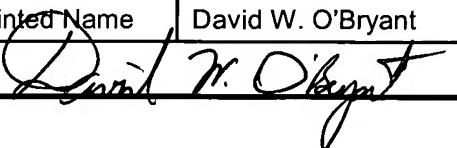
**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

|                        |   |  |  |
|------------------------|---|--|--|
| Attorney for Applicant | David W. O'Bryant, Registration No. 39,793<br>MORRISS O'BRYANT COMPAGNI, P.C.<br>136 South Main Street, Suite 700<br>Salt Lake City, Utah 84101<br>(801) 478-0071 telephone; (801) 478-0076 facsimile |  |  |
|------------------------|---|--|--|

|           |   |      |           |
|-----------|---|------|-----------|
| Signature |  | Date | 1/27/2004 |
|-----------|---|------|-----------|

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the date indicated below, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|                       |   |      |           |
|-----------------------|---|------|-----------|
| Typed or Printed Name | David W. O'Bryant   |      |           |
| Signature             |  | Date | 1/27/2004 |



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AFFILIANT: Brianna Herrick et al.

SERIAL NO.: 10/697,711

FILING DATE: October 30, 2003

TITLE: ENDOTRACHEAL TUBE HOLDER  
WITH AN ADJACENT FEEDING  
TUBE HOLDER FOR NEO-NATAL  
USE

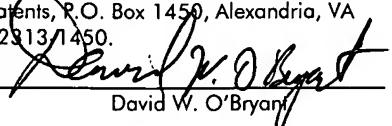
ART UNIT: Unknown

EXAMINER: Unknown

DOCKET NO.: 2864.HERR.PT

CERTIFICATE OF MAILING  
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
David W. O'Bryan

1/27/2004  
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.98(a)(1), enclosed is Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed, pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 or before the mailing date of a first Office action on the merits. Applicant requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of the references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

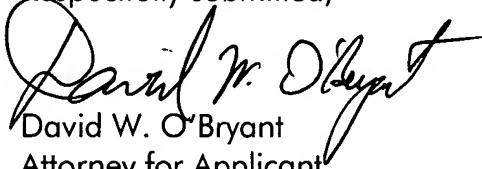
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned

attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no reference which is more relevant to the claimed invention (taken in its entirety) has been knowingly withheld.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 27<sup>th</sup> day of January, 2004.

Respectfully submitted,



David W. O'Bryant  
Attorney for Applicant  
Registration No. 39,793  
MORRISS O'BRYANT COMPAGNI, P.C.  
136 South Main Street, Suite 700  
Salt Lake City, Utah 84101  
(801) 478-0071 telephone  
(801) 478-0076 facsimile

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

FEB 02 2004

1st Sheet  
of 1

Complete if Known

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|------------------------|------------------|
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| First Named Inventor   | Brieanna Herrick |
| Group Art Unit         | Unknown          |
| Examiner Name          | Unknown          |
| Attorney Docket Number | 2864.HERR.PT     |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant Figures<br>Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US-3,774,616                             | 11-27-1973                     | White et al.                                       |   |
|                    |                       | US-3,924,636                             | 12-09-1975                     | Addison  |   |
|                    |                       | US-4,054,135                             | 10-18-1977                     | Berman   |   |
|                    |                       | US-4,270,529                             | 06-02-1981                     | Muto   |   |
|                    |                       | US-4,644,588                             | 02-24-1987                     | Zawacki  |   |
|                    |                       | US-4,744,358                             | 05-17-1988                     | McGinnis   |   |
|                    |                       | US-5,009,227                             | 04-23-1991                     | Nieuwstad  |   |
|                    |                       | US-5,024,218                             | 06-18-1991                     | Ovassapian et al.                                  |   |
|                    |                       | US-5,069,206                             | 12-03-1991                     | Crosbie  |   |
|                    |                       | US-5,076,269                             | 12-31-1991                     | Austin   |   |
|                    |                       | US-5,205,832                             | 04-27-1993                     | Tuman  |   |
|                    |                       | US-5,345,931                             | 09-13-1994                     | Battaglia, Jr.                                     |   |
|                    |                       | US-5,368,024                             | 11-29-1994                     | Jones  |   |
|                    |                       | US-5,402,776                             | 04-04-1995                     | Islaiva  |   |
|                    |                       | US-5,419,319                             | 05-30-1995                     | Werner   |   |
|                    |                       | US-5,490,504                             | 02-13-1996                     | Vrona et al.                                       |   |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited<br>Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|--|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |  |                |
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| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

FEB 02 2004 (use as many sheets as necessary)

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|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US-5,501,216                             | 03-26-1996                     | Byrd   |   |
|                    |                       | US-5,551,421                             | 09-03-1996                     | Noureldin et al.                                   |   |
|                    |                       | US-5,555,881                             | 09-17-1996                     | Rogers et al.                                      |   |
|                    |                       | US-5,653,228                             | 08-05-1997                     | Byrd   |   |
|                    |                       | US-5,704,916                             | 01-06-1998                     | Byrd   |   |
|                    |                       | US-5,755,225                             | 05-26-1998                     | Hutson   |   |
|                    |                       | US-5,806,516                             | 09-15-1998                     | Beattie  |   |
|                    |                       | US-5,862,801                             | 01-26-1999                     | Wells  |   |
|                    |                       | US-                                      |                                |  |   |

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| Examiner Signature |  | Date Considered |  |
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